

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Radkov et al.  
FOR : FULL SPECTRUM PHOSPHOR  
BLENDS FOR WHITE LIGHT  
GENERATION WITH LED CHIPS  
SERIAL NO. : 10/580,973  
INTERNATIONAL FILING DATE : June 23, 2004  
EXAMINER : Unknown  
ART UNIT : Unknown  
CONFIRMATION NO. : 9258  
ATTORNEY DOCKET NO. : GLOZ 2 00167

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. 371 IN THE UNITED STATES**  
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**MAIL STOP PCT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450  
Attn.: RO/US

Dear Sir:

Applicants respectfully submit that the referenced Notification of Missing Requirements mailed January 24, 2007 is in error. Moreover, the Notification states that the Oath or Declaration of the inventors does not identify the citizenship of each inventor. Applicants submit herewith a photocopy of the Declaration filed with the

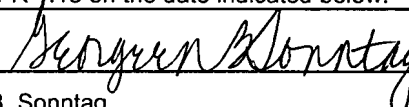
application. Applicants note that two page 3's, a first signed individually by inventor Setlur identifying USA as country of citizenship and a second page 3 signed by inventor Radkov indicating Bulgaria as country of citizenship. Applicants submit that this fully complies with the requirement. A Petition for a One Month Extension of Time accompanies this Response. Applicants submit that if further fees are required, the previous payment be utilized as set forth in the referenced Notification of Missing Requirements.

Respectfully submitted,

FAY SHARPE LLP

3/28/07  
Date

  
\_\_\_\_\_  
Scott A. McCollister, Reg. No. 33,961  
1100 Superior Avenue  
Seventh Floor  
Cleveland, OH 44114-2579  
216-861-5582

Certificate of Mailing or Transmission	
I hereby certify that this correspondence (and any item referred to herein as being attached or enclosed) is (are) being	
<input checked="" type="checkbox"/> deposited with the United States Postal Service as First Class Mail, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.	
<input type="checkbox"/> transmitted to the USPTO by facsimile in accordance with 37 CFR 1.18 on the date indicated below.	
Express Mail Label No.:	Signature: 
Date: <u>March 28, 2007</u>	Name: Georgeen B. Sonntag

RECEIVED

JAN 29 2007

FAY SHARPE LLP

315 Waters



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/580,973	Emil Radkov	GLOZ 2 00167

INTERNATIONAL APPLICATION NO.

PCT/US04/20086

I.A. FILING DATE

PRIORITY DATE

06/23/2004

06/24/2003

27885  
 FAY SHARPE LLP  
 1100 SUPERIOR AVENUE, SEVENTH FLOOR  
 CLEVELAND, OH 44114

CONFIRMATION NO. 9258

371 FORMALITIES LETTER



\*OC000000022119327\*

Date Mailed: 01/24/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/31/2006
- Copy of the International Search Report filed on 05/31/2006
- Information Disclosure Statements filed on 12/08/2006
- Oath or Declaration filed on 05/31/2006
- U.S. Basic National Fees filed on 05/31/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - does not identify the citizenship of each inventor.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$-870** for a Large Entity:

- **\$130** Surcharge.

Emailed to: SMIC  
 On: 3/1/07 By: Ban T.

**"DOCKETED"**

(A previous payment of \$1000 will be applied to the additional fees indicated above.)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

**PART 1 - ATTORNEY/APPLICANT COPY**

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10/580,973	PCT/US04/20086	GLOZ 2 00167